



EDELMAN FINANCIAL ENGINES

We believe everyone deserves to move their financial life forward.

WHO WE ARE

We're one of America's top independent wealth planning and workplace investment advisory firms, serving 1.3 million clients.

WHAT WE DO

Our unique combination of high-tech and high-touch services helps our clients make better financial decisions during life's biggest moments.

HOW WE HELP

Our firm and our financial planners are committed to always acting in the best interests of our clients.

WE HELP CLIENTS PLAN THROUGH EVERY STAGE OF LIFE

No matter where an individual is on their financial journey, our expert team, patented technology and full suite of services can help them transition from one financial moment to the next.



WEALTH MANAGEMENT SERVICES


We deliver straightforward wealth planning and investment advice to help individuals and families connect the dots between key parts of their financial life in order to help build, grow, protect and preserve their wealth.




EMPLOYER SERVICES

Through many of the nation's leading employers, we offer an integrated suite of personalized financial solutions to support employees and plan participants across their major life events and milestones – from their first paycheck all the way through retirement – which are the times they need help the most.





 Financial Planning

 Retirement Planning

Retirement Readiness


Life's Financial Moments


 Investment Management

 Estate Planning

Everyday Wealth and Wellness

Diverse Employee Needs

 Insurance Guidance

 Tax Planning

BY THE NUMBERS

We were founded on the belief that all investors deserve access to personal, integrated financial planning and investment advice. This has helped us become an industry leader.

1.3M Clients	730 Advisors, Planners, Analysts and Associates	1,500 Employees	145+ Planner Locations
\$250B Assets Under Management	10.4M Plan Participants	720+ Plan Sponsors	103 FORTUNE 500 Plan Sponsor Clients

INDUSTRY LEADERSHIP

#1

INDEPENDENT FINANCIAL ADVISORY FIRM
2018, 2019, 2020, 2021, 2022

BARRON'S

Awarded each September (2018-2022) by *Barron's*,
based on data within a 12-month period.
Compensation paid for use and distribution of rating.

#1

**MANAGED ACCOUNT PROVIDER FOR
DEFINED CONTRIBUTION PLANS**

Listing published 1Q 2023 and is based on
Dec. 31, 2022 Defined Contribution managed account
providers data gathered by Cerulli Associates.

EXECUTIVE TEAM



JAY SHAH
Chief Executive
Officer



KELLY O'DONNELL
EVP, Co-Chief
Client Officer



JASON VAN DE LOO
EVP, Co-Chief
Client Officer



LEE ANTONE
EVP, Chief Legal
and Risk Officer



**SUZANNE VAN
STAVEREN**
EVP, Chief Financial
Officer and Chief
Operating Officer



TOM KIMBERLY
EVP, Chief Product
and Technology
Officer



NEIL GILFEDDER
EVP, Chief Investment
Officer



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Clients, AUM, Plan Participants, Sponsor, Advisors, Planners, Analysts and Associates data as of June 30, 2023. Employee and Planner Location data as of Dec. 31, 2022.

The *Barron's* 2022 Top 100 RIA Firms list, a seven-year annual ranking of independent advisory firms, is qualitative and quantitative, including assets managed by the firms, technology spending, staff diversity, succession planning and other metrics. Firms elect to participate but do not pay to be included in the ranking. Compensation is paid for use and distribution of the rating. Investor experience and returns are not considered. The 2018 ranking refers to Edelman Financial Services, LLC, which combined its advisory business in its entirety with Financial Engines Advisors L.L.C. (FEA) in November 2018. For the same survey, FEA received a precombination ranking of 12th.

According to the Cerulli Associates Top-Nine Managed Account Sponsors by DC Assets survey of Defined Contribution managed account providers, The Cerulli Edge – U.S. Retirement Edition, 1Q 2023, Edelman Financial Engines is the largest managed account provider by DC assets and market share as of Dec. 31, 2022.

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